

# What is Plan S?



Plan S

Making full and immediate Open Access a reality

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Why Plan S Principles and Implementation Who's involved Ab



# cOAlition S

A group of International and national research funding organisations, with the support of the European Commission and the European Research Council including:

- SFI
- Wellcome Trust
- European Research Council
- Bill & Melinda Gates Foundation

“We are delighted the World Health Organization is joining cOAlition S, marking an important day for health research. The WHO’s global reach will play a vital role in supporting researchers and institutions in making their research fully Open Access.

**—Jeremy Farrar**  
*Director of Wellcome*



[www.coalition-s.org](http://www.coalition-s.org)

#cOAlitionS  
#OpenAccess

# Plan S

- Plan S consists of one target and 10 principles.



# Target-By 2021

All scholarly publications on the results from research funded by public or private grants provided by national, regional and international research councils and funding bodies, must be published in:

- Open Access Journals
- on Open Access Platforms
- or made immediately available through Open Access Repositories without embargo.

# The Plan S Principles (1)

1. Authors /institutions retain copyright to their publications, preferably the Creative Commons Attribution license (CC BY)
2. The Funders will develop robust criteria and requirements OA services
3. In cases where high-quality Open Access journals or platforms do not yet exist, the Funders will provide incentives to establish and support them and support Open Access infrastructures where necessary;
4. Where applicable, Open Access publication fees are covered by the Funders or research institutions, not by individual researchers; it is acknowledged that all researchers should be able to publish their work Open Access;

# The Plan S Principles (2)

5. The Funders support the diversity of business models for Open Access journals and platforms. Open Access fees must provide value for money and be transparent to inform standardisation and capping of payments of fees;
6. The Funders encourage governments, universities, research organisations, libraries, academies, and learned societies to align their strategies, policies, and practices.
7. The above principles shall apply to all types of scholarly publications, though for monographs and book chapters may take longer and require a separate and due process;

# The Plan S Principles (3)

8. Funders will phase out support for the ‘hybrid’ model of publishing;
9. The Funders will monitor compliance and sanction non-compliant beneficiaries/grantees;
10. The Funders commit that when assessing research outputs during funding decisions they will value the intrinsic merit of the work and not consider the publication channel, its impact factor (or other journal metrics), or the publisher.

# Routes to publication

	Open Access publishing venues (journals or platforms)	Subscription venues (repository route)	Transition of subscription venues (transformative arrangements)
Route	Authors publish in an Open Access journal or on an Open Access platform.	Authors publish in a subscription journal and make either the final published version (Version of Record (VoR)) or the Author's Accepted Manuscript (AAM) openly available in a repository.	Authors publish Open Access in a subscription journal under a transformative arrangement.
Funding	cOAlition S funders will financially support publication fees.	cOAlition S funders will not financially support 'hybrid' Open Access publication fees in subscription venues.	cOAlition S funders can contribute financially to Open Access publishing under transformative arrangements.

# Routes to compliance

Nine routes towards Plan-S compliance (based on the 20181127 guidance document)

routes to Plan S compliance	A. existing/new APC gold journal / platform	B. existing/new non-APC gold journal / platform (diamond)	C. flipping journals to APC gold (by publishers or editors)	D. flipping journals to non-APC gold (diamond), by publishers or eds.	E. hybrid journal in transformative deal (temporary route)	F. CC-BY OA in hybrid journal & self archiving the published paper	G. archiving publisher version, on publication, CC-BY	H. archiving AAM, on publication, CC-BY	I. sharing preprints and using overlay PR
1. compliant?	yes	yes	yes	yes	yes	yes	yes	yes	unsure
2. example	PLoS, 1000s more	Open Library of Humanities, 1000s more	Epidemiology & Infection, 100s more	Scoop <sup>1</sup>	(no transformative deals yet?)	all hybrid journals allowing CC-BY	(MNRAS, APS journals) <sup>1</sup>	Royal Society, (Emerald journals) <sup>1</sup>	SciPost
3. current use / availability	sizeable amount	limited	very limited	very limited	none yet?	sizeable amount	limited	limited	very limited
4. effect on publishers	gold publ. win, evt. decreasing subscriptions	more competition / perhaps evt. decreasing subscriptions	change in business model / probl. for high rejection	new partnerships or loose journals to funders/institutions	need to change business model	journals keep role if CC-BY is allowed	evt. decreasing subscriptions, need to solve sustainability?	keep large part of perceived value	change publishing model or loose out
5. effect on researchers	away from trad. venues and IF-thinking	away from trad. venues and IF-thinking	depends on (funding for) APC	none	limited effect	almost no restriction on journal choice, but need to pay APC	small effort	small effort, accept limitations	adapt to new idea
6. effect on libraries	away from hybrid deals & IF-thinking	away from hybrid deals & IF-thinking, pot. role in funding	limited	potential role in funding	(help) negotiate transformative deals	current type read & publish deals remain relevant	role insofar as hosted in IR / cancel subs evt.	continued role, esp. hosting in inst. repo	chance to play role in curation
7. effect on funders	supporting (own) platforms / lower APC levels	supporting (own) platforms / lower APC levels?	depends on APC levels	lower average APC levels? / pot. role in funding	depends on who pays APCs during the deal	no financial burden / no reduction of role hybrid	no financial gain	no financial gain	adapt to new idea, change assessment
8. effect on societies	big, because of subscription dependance	big, because of subscription dependance	change in business model / probl. for high rejection	change in business model / probl. for high rejection	need to change business model	journals keep role if CC-BY is allowed	evt. decreasing subscriptions?	evt. decreasing subscriptions?	limited role, perhaps in quality assurance?
9. effect on editors of trad. jrnls.	fewer submissions, lower status	fewer submissions, lower status	none (or big role in leading flip)	none (or big role in leading flip)	none (or big role in leading flip)	none	none	none	new role in overlay journals?
10. overall pub cost	depends on market	depends on market / funding sources	depends on market	depends on market / funding sources	remains high at least until deal has effect	remains high	remains high	remains high	substantially lower?
11. fits changes in assessment	+	+	-	-	-	-	-	-	++

<sup>1</sup> these examples allow immediate sharing but not with CC-BY and copyright retention yet

# What about data?

- Although the Plan S principles refer to peer-reviewed scholarly publications, cOAlition S also strongly encourages that research data and other research outputs are made as open as possible and as closed as necessary.
- The early sharing of research results through preprints is also strongly encouraged.

# HRB Open Research – a funder platform for open access research

HRB Open Research



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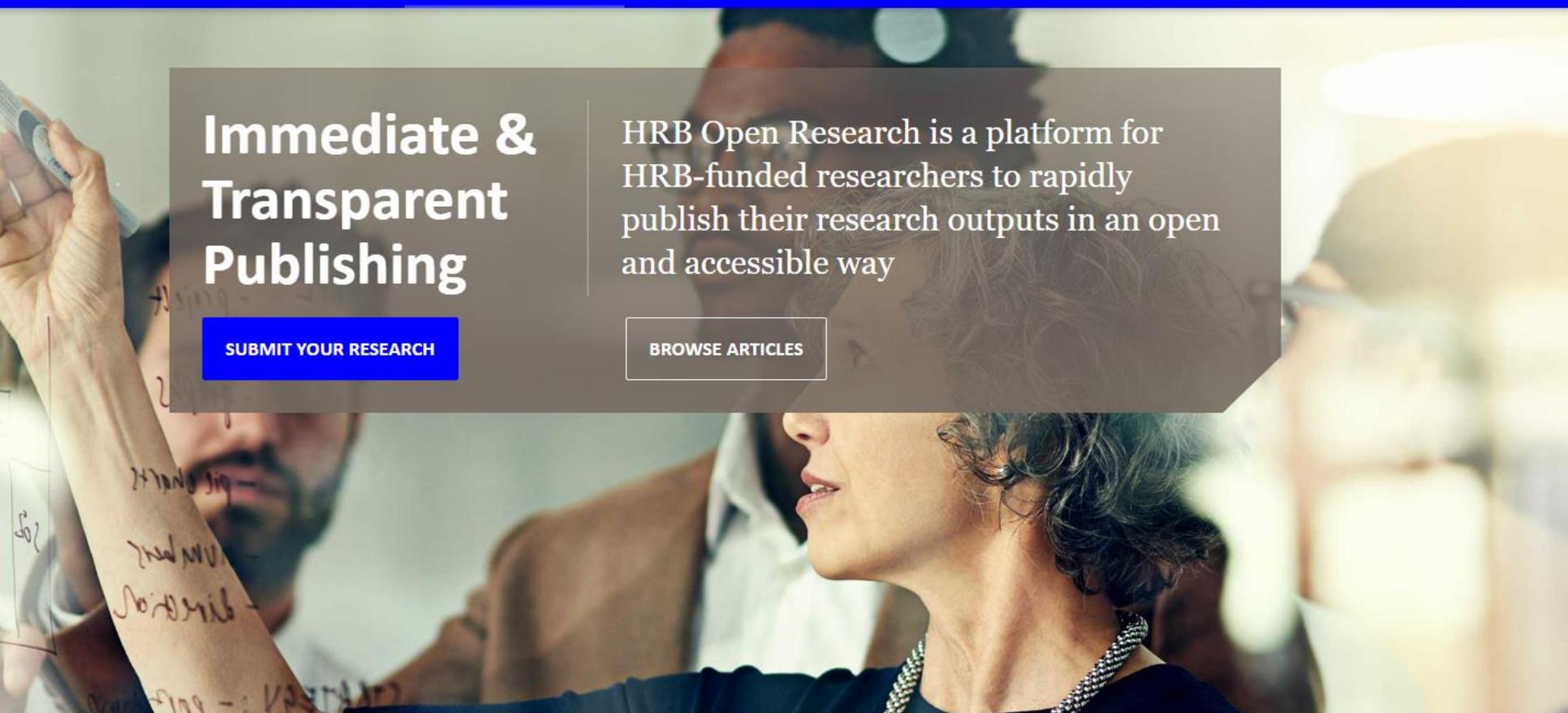
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HRB Open Research is a platform for HRB-funded researchers to rapidly publish their research outputs in an open and accessible way

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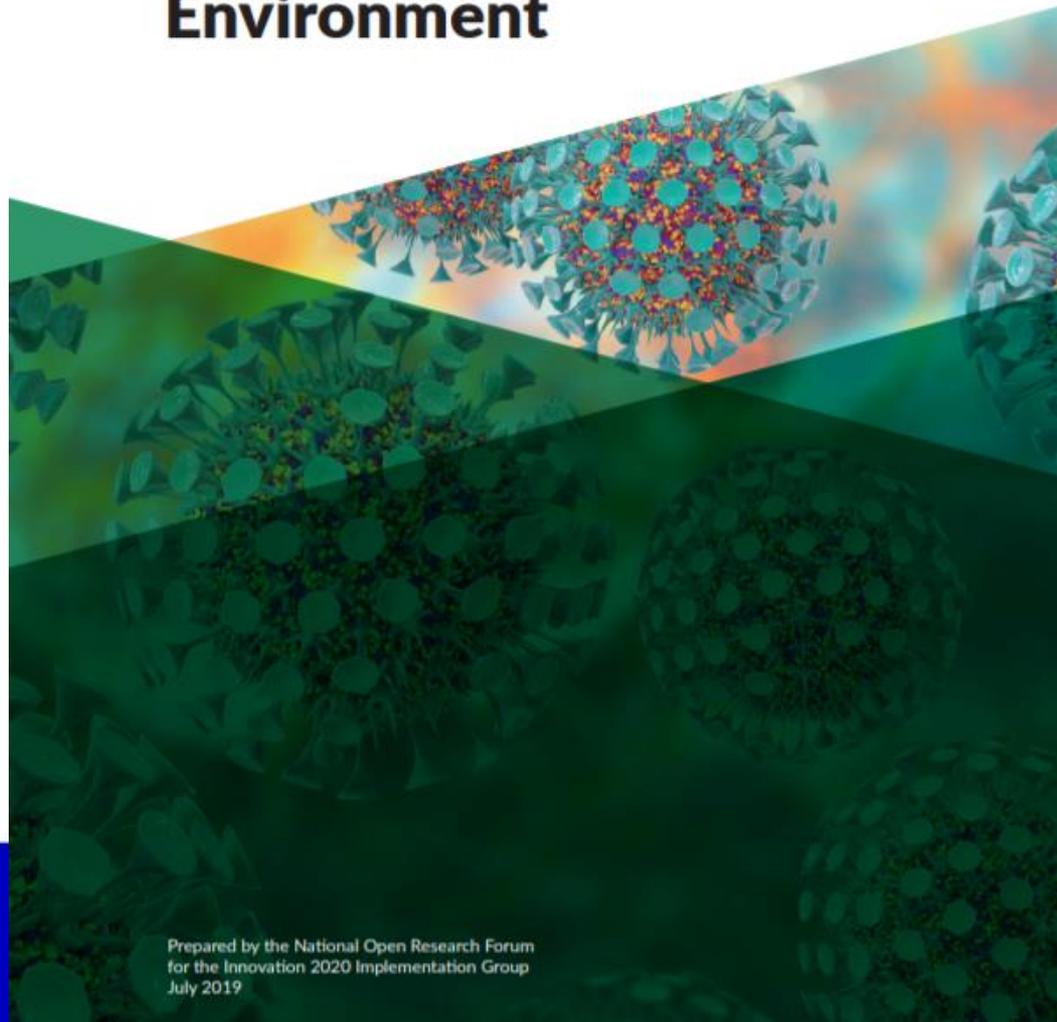
# Ireland and NORF



1. The National Open Research Forum (NORF) has been established to deliver an Irish agenda for open research.
2. Co-chaired by the Higher Education Authority (HEA) and the Health Research Board (HRB) with secretariat from the Department of Business, Enterprise and Innovation (DBEI).
3. In July 2019 Minister John Halligan launched Ireland's National Framework on the Transition to an Open Research Environment.
4. The Framework is a key deliverable of the National Open Research Forum (NORF), which was set up in 2017 to bring together key members of the research community to drive Ireland's open research agenda as set out in Innovation 2020, Ireland's research and development, science and technology strategy.



# National Framework on the Transition to an Open Research Environment



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